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IN THE SUPERIOR COURT OF THE STATE OF ARIZONA

IN AND FOR THE COUNTY OF PIMA

ENERGY & ENVIRONMENTAL LEGAL)
INSTITUTE,)
)
Plaintiff,)
)
vs.)
)
ARIZONA BOARD OF REGENTS,)
et al.,)
)
Defendants.)
-----)

NO. C-20134963
2CA-CV 2015-0086

BEFORE: The Hon. James E. Marner
Judge of the Superior Court
Division 10

REPORTER'S TRANSCRIPT OF PROCEEDINGS
Hearing Re Merits

February 6, 2015
Tucson, Arizona

Reported by: KAREN A. KAHLE, OFFICIAL
RPR, Certified Reporter Number 50075

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1 P R O C E E D I N G S

2 THE COURT: Okay. We're here on cause
3 number C-20134963. Counsel, please announce your
4 presence.

5 MR. SCHNARE: Your Honor, Dave Schnare
6 for the plaintiff.

7 THE COURT: Good morning.

8 MR. MANDIG: Good morning, Your Honor.
9 Michael Mandig for respondents, and with me at
10 counsel table is Kimberly Espy, chief research
11 officer at the University of Arizona and senior
12 vice-president.

13 THE COURT: Good morning.

14 MS. ESPY: Good morning.

15 THE COURT: And good morning to
16 everybody else who came down to observe. All right.
17 We're here on the hearing.

18 In all candor, I've been thinking about
19 this for the last week or so, and I'm still not sure
20 what I'm going to call this hearing. So I decided
21 I'm just going to call it the hearing.

22 Gents, I have as -- we had a brief
23 informal conversation off the record when I came out
24 and brought out the materials. As I informed both
25 counsel, I've read everything that's been filed in

1 this case actually a couple times on some of the
2 things.

3 Mr. Schnare had brought to my attention
4 that there had been a more recent filing. I just
5 printed it out. Anyway I think it was filed on
6 January 20th that came in. It was a six-page
7 briefing that was attached to the affidavit of
8 Doctors Overpeck and Hughes.

9 And as I indicated in our conversation
10 where Mr. Mandig was present as well, I had read that
11 as well. Like I said, I've read everything that's
12 come in, so we can discuss that. I'll entertain
13 whatever motion you want to bring on that issue.

14 What I was planning on doing today is --
15 we have two hours and I will give you -- I don't
16 think I need to -- unless either side thinks I need
17 to -- split the clock or anything.

18 And my 11 o'clock fell off, so I hate to
19 say this but we have three hours. But I have a 14
20 year old I've got to get to a pediatrician's
21 appointment at 1:15, and I have to be out of here at
22 about quarter to noon.

23 So having said all that, Mr. Schnare, is
24 there any preliminary matter you want to address?
25 And then, Mr. Mandig, I'll posit the same question to

1 you.

2 MR. SCHNARE: Your Honor, I hesitate --
3 may I approach?

4 THE COURT: You may.

5 MR. SCHNARE: I hesitate to offer this
6 motion. I want to know if you want to entertain it.
7 If you don't, then we won't but the argument -- the
8 argument is very simple, Your Honor.

9 At the last hearing we had, we discussed
10 what was going to be done henceforth, and one of the
11 things you told us was there weren't going to be any
12 more briefs and we're done with that.

13 In fact, the January 20th brief did come
14 forward and constitutes a second sur-reply. As far
15 as I could tell, you weren't asked for permission to
16 file it, and you didn't grant any permission to file
17 it. And under the rules, it's inappropriate for it
18 to be recorded.

19 Now I accept the fact that I can't
20 un-ring the bell. You've read it. But if you
21 granted a motion to strike, you wouldn't be allowed
22 to rely on it or the affidavit declaration that came
23 with it. So my question to you is whether you want
24 to entertain this or not.

25 Secondly, there were two declarations

1 offered 28 July 2014 that were improperly sworn.
2 These were the Overpeck and Hughes declarations. We
3 indicated in our opening brief they were improperly
4 sworn to.

5 We also indicated there was another one
6 that wasn't even signed. Now they cured that one.
7 They had it signed and sent it in, but they never
8 cured the other two. I mentioned it in open court
9 previously, and I fully expected them to cure it but
10 they didn't.

11 So as a matter of rule, those, too, are
12 inappropriate to be before the Court. And so, you
13 know, it's a judgment call. It's your -- you're the
14 Judge. You have to decide what you want to do. If
15 you want to take this up, fine. If you don't, we'll
16 just move on to the hearing.

17 THE COURT: Okay. Let's first address
18 the motion to strike. Give me a minute to -- a
19 couple minutes to read it.

20 MR. SCHNARE: It's only two pages.

21 THE COURT: Mr. Mandig, do you have a
22 copy?

23 MR. MANDIG: Yes. It was delivered to
24 me this morning.

25 THE COURT: Okay. Give me a minute.

1 THE COURT: Okay. Mr. Mandig, first on
2 the motion to strike, what's your position?

3 MR. MANDIG: Well, first, perhaps my
4 memory's failing me, but I don't remember the Court
5 saying that the parties will file nothing more with
6 the Court.

7 But more to the point, you issued a
8 minute entry on November 17, 2014, and directed us to
9 prepare and present the supplemental logs trying to
10 categorize the documents according to the list of
11 eight items that was contained in your minute entry.

12 You also said that one of the categories
13 we should identify is the documents that were
14 withheld because the best interest of the State
15 outweighed the public policy in favor of disclosure.

16 And then you say, quote, if this
17 exception is relied upon, defendant shall identify
18 with specificity how the best interest of the State
19 will be served by an order affirming its decision to
20 withhold the documents, end quote.

21 Frankly I thought that when we filed our
22 memorandum and the joint declaration of Professors
23 Hughes and Overpeck and the supplemental logs we were
24 doing exactly what you ordered us to do, categorize
25 the documents and explain why those documents that

1 are placed in Category Number 8 should have been
2 withheld in the best interest of the state.

3 So I don't have anything else to say. I
4 think we complied with your directive. If there was
5 some other way for us to have complied with it as a
6 lawyer who's accustomed to filing briefs and
7 memorandum with courts, I don't know how to do it.

8 You didn't direct us to have a telephone
9 conversation or ask us to come back for some interim
10 hearing. We did exactly what you ordered us to do.

11 THE COURT: On the issue of the
12 affidavits, what's your position?

13 MR. MANDIG: The two declarations that
14 were filed in July of 2014 had a statement at the end
15 of each that said something like I affirm that the
16 statements in this declaration are true and correct
17 to the best of my knowledge and belief, something
18 like that.

19 The additional words under the penalty
20 of perjury -- I declare under penalty of perjury were
21 omitted, so I think technically that the attestations
22 on those two documents were formally defective, a
23 problem which we cured in the joint declaration of
24 Professors Hughes and Overpeck when they declared
25 under penalty of perjury that the contents of that

1 joint declaration were true and correct and also
2 said, quote, we also declare under penalty of perjury
3 that our respective prior declarations in this matter
4 dated July 28, 2014, were and are true and correct.

5 If the Court thinks it's necessary for
6 us to have asked permission to cure up what I would
7 regard as a highly technical formal defect in the
8 papers that we should ask permission to do so, then
9 please consider this a request that you accept our --
10 our effort to cure that problem. It seems to me it's
11 over and done with and behind us now.

12 THE COURT: Okay. Thank you. Last
13 word, Mr. Schnare.

14 MR. SCHNARE: To the degree that they
15 made their declaration properly in the second
16 declaration and to the degree that you allow it to be
17 in the court, that matter should be dropped. It's
18 irrelevant.

19 But the question of striking the motion
20 or striking the memorandum itself is before you, and
21 since they raised novel legal issues, particular
22 association -- association which had never been
23 raised before, prejudices us.

24 If they want to raise it today during
25 their presentation, we could deal with it, but I

1 don't believe it's appropriate to be in the
2 memorandum. And there's absolutely no authority to
3 do a sur-reply.

4 Further, if you -- based on the minute
5 order and an examination of their expanded VON log,
6 all their argument about why things ought to be in
7 the best interest of the state are in the VON log
8 which is what clearly they contemplated needed to be
9 done and clearly what I thought would be the way to
10 do it. So the memorandum is surplusage to what they
11 were required and they, in fact, did do.

12 THE COURT: Okay. Thank you. The
13 motion to strike is denied. In all candor, I don't
14 think my November in chambers was the model of
15 clarity.

16 However, as you've known, as you
17 probably recall from the previous hearings we had, I
18 struggled with the parties' perceived thoughts on how
19 we're going to proceed in this case.

20 I can't tell you how many ARS 39-121
21 cases I've read and reread since I inherited this
22 case and how many cases I've done on -- I've
23 researched on my own extending from the Virginia
24 litigation and things like that, and that's why I
25 think when I came out here and I'm still a bit at a

1 loss as to the procedure when I'm calling this the
2 hearing. The --

3 (Whereupon Mr. Larson entered the
4 courtroom.)

5 THE COURT: I did note that some of the
6 issues raised tangentially were somewhat new in the
7 attachment to the declarations.

8 what I will do, Mr. Schnare, obviously
9 I'm going to take the matter under advisement. I
10 will give you an opportunity to file a response
11 focused on the issues that you think -- you don't
12 have to but you may file on the -- file a response to
13 the issues that you think were raised for the first
14 time in the January 20, 2015, pleading. If you can
15 have that to me by --

16 MR. SCHNARE: Your Honor, I'm going to
17 decline your kind offer.

18 THE COURT: Okay.

19 MR. SCHNARE: I'm going to address the
20 one point that I think's worth addressing today.

21 THE COURT: Okay. That's fine. So the
22 motion to strike is denied.

23 MR. SCHNARE: I'm going to withdraw the
24 motion so you don't have to bother with it.

25 THE COURT: As for the claimed -- the

1 claim that the declarations were -- are technically
2 flawed, I agree with Mr. Mandig. I think they're
3 basically compliant enough and I'm going to -- I'm
4 going to and have considered them and I will continue
5 to consider them in my deliberations. So having said
6 that, Mr. Schnare, the floor is yours.

7 MR. SCHNARE: It is. Well, Your Honor,
8 I'm going to concede the floor to Mr. Mandig. It
9 seems to me, Your Honor, that Mr. Mandig has two
10 rights here today, at least one responsibility and
11 one right, and that is that it is his duty to explain
12 how they overcome the legal presumption for
13 disclosure. And he should make those arguments.

14 I'll be happy to respond to them and
15 make my own. But as Mr. Mandig -- often he would
16 like to have the last word, and I think that's the
17 way he would do it.

18 THE COURT: Okay.

19 MR. SCHNARE: I'm happy to --

20 THE COURT: I assumed that's what you
21 were going to say, but typically as the petitioner I
22 would -- plaintiff I turn to you first, so Mr.
23 Mandig.

24 MR. MANDIG: Your Honor, I've got some
25 PowerPoint slides I prepared, and I gave a copy to

1 Mr. Schnare this morning. Let me hand the Court --

2 THE COURT: Thank you.

3 MR. MANDIG: And before I start talking
4 about that, let me explain why I did this. I mean,
5 we have between Mr. Schnare and I filed a fairly
6 large record on paper.

7 That is -- in my estimation at least for
8 me, it's been a little bit hard to sew everything
9 together in one package, so what I've tried to do is
10 prepare a series of slides that address the issue
11 that Mr. Schnare just mentioned.

12 And that is why the Court should affirm
13 the exercise of discretion that was made by the
14 university in electing to withhold the papers that
15 were -- or the records that were withheld.

16 So I'm going to -- I'm going to spend a
17 little bit of time talking about the science, not
18 because I think we want to get mired in a debate
19 about who's right and who's wrong about global
20 warming and human contributions to it, but rather
21 because it helps make clear one of the central points
22 I'll make a little bit later.

23 So if I can get this going here -- let
24 me see if I can make the toys work. The case that we
25 have here begins with a false premise. It's not

1 obvious necessarily from the papers that have been
2 filed in court but public pronouncements by the
3 plaintiff together with what is in the court record
4 make clear that E & E thinks that it is to use its
5 words peppering universities around the country with
6 record requests in order to, quote, put false science
7 on trial, end quote.

8 The reason I say that's a false premise
9 is that E & E shows no interest in science. They
10 haven't asked for reports. They haven't asked for
11 data.

12 They haven't requested any records that
13 might indicate the methodologies used by Professors
14 Hughes and Overpeck or anyone that they work with in
15 doing their climate science work.

16 They haven't asked for the usual things
17 you would expect somebody to ask for when they're
18 making a public record request such as who's paying
19 for your work, where's the money coming from and how
20 much are you spending on the work that I have
21 interest in.

22 They've asked for nothing in any of
23 those categories. The only thing they've asked for
24 are e-mails between them, Overpeck, Hughes and their
25 colleagues. They also at least on their web site --

1 they being E & E Legal and formerly American
2 Tradition Institute -- they call the work that was
3 done by Dr. Hughes, Dr. Mann and Dr. Bradley back in
4 the late '90s the worst scientific scandal of our
5 generation.

6 This is the so-called hockey stick data
7 that produced this graph that you see up on the -- on
8 the screen here. And I think it's important for the
9 Court to bear in mind that this is not a scandal at
10 all.

11 It is now part of the generally accepted
12 context in which global warming is now being
13 evaluated. Professor Hughes, a regents professor
14 here at the University, worked with these two
15 gentlemen.

16 The basic conclusion that they reached
17 in 1999 and the main paper that they published was
18 that in the latter half of the 20th century northern
19 hemisphere temperatures increased rather dramatically
20 in comparison with the prior 1,000 years.

21 Now the process of science is an
22 evolutionary thing as I'll talk about a little bit
23 further. But in 2006, the National Research Council
24 published a paper in which they basically concluded
25 that the conclusions reached by Hughes and Bradley

1 had been substantiated by six or seven years of
2 additional research by other individuals, you know,
3 crunching the data, analyzing the conclusions, trying
4 to either attack it or support it or build on it,
5 whatever they were doing.

6 But by 2006, the consensus was that that
7 temperature spike in the second half of the 20th
8 century actually happened. Bringing us up to date in
9 2014, this scandal that E & E talks about was awarded
10 a prize.

11 That is, of over 31,000 articles
12 published in the geophysical unions psychophysics
13 research letters -- 31,000 of them in 40 years --
14 they chose the Mann, Bradley, Hughes article as one
15 of the top 40 most significant contributions to
16 science.

17 Now what's the significance of that?
18 I'll come back to it in a little bit. Now why was
19 Mr. -- or Professor Overpeck targeted?

20 He was targeted because he was a
21 co-author of a report issued by the Intergovernmental
22 Panel on Climate Change. Now we're talking about
23 public debate about science.

24 Mr. Schnare thinks that public debate
25 should include poking around in the private e-mails

1 of people involved in science. We disagree but there
2 are -- there are clear adversaries lined up against
3 one another in the public debate.

4 One is the intergovernmental panel on
5 climate change. The other is the non-governmental
6 international panel on climate change.

7 And I put the IPCC and the NIPCC here on
8 this slide in black and white not because of good or
9 evil, but because if you study the public record in
10 the debate between these two organizations, what you
11 see is if the IPCC says white, the NIPCC says black.

12 The battle lines or the parameters of
13 the debate that's taking place are clearly mapped
14 out, and more importantly just as an aside, the work
15 that Professor Overpeck participated in as a lead
16 coauthor of the 2007 IPCC report was given 50 percent
17 share of the 2007 Nobel Peace Prize.

18 Now I suppose we can debate whether
19 people who receive the Peace Prize receive it because
20 of science or politics, but the point is
21 international recognition was given at that level to
22 the value that the IPCC was making to the public
23 debate about science, climate science.

24 Dr. Craig Idso, one of the plaintiff's
25 witnesses who I think has some sort of a private

1 company of his own, but as far as his declaration
2 shows is unaffiliated with any university or other
3 major institution as far as I could tell.

4 He agrees that the work that was
5 coauthored by Overpeck and the others was, quote, a
6 major research effort by a group of dedicated
7 specialists in many topics related to climate change,
8 end quote.

9 Quote, a valuable compendium of the
10 current state of the science, end quote, and here's
11 one to keep in mind, a way to gain, quote, access to
12 the numerous critical comments submitted by expert
13 reviewers, end quote.

14 Now I'm quoting there from an NIPCC
15 report that was responding to the international panel
16 on climate change. That's Exhibit J at Page 13 of
17 our materials.

18 Now one of the things that Dr. Idso
19 somehow neglected to mention in his declaration is
20 that he was a lead coauthor of the NIPCC paper from
21 which I extracted these quotes.

22 What is my point? My point is that the
23 plaintiff's own witness acknowledges that the type of
24 information that's among the universe of records that
25 Mr. Schnare wants in this case -- that is, access to

1 reviews of work done by people involved in climate
2 science -- that's in the public record.

3 It's at databases created by the IPCC,
4 and that's why Mr. Idso says that the IPCC paper
5 gives access to the numerous critical comments
6 submitted by expert reviewers. That information is
7 out there.

8 Now just to wrap it up, as I said
9 before, the research and writing and analysis and
10 criticism and commentary and debate on climate change
11 continues.

12 As of 2014, the IPCC came out with its
13 fifth review of this -- the status of climate
14 scientists, and these are the two conclusions that
15 they have reached that the science has evolved into.
16 The human influence on the climate system is clear,
17 and warming of the climate system is unequivocal.

18 And since the 1950s, many of the
19 observed changes are unprecedented over decades to
20 millennia.

21 Now I haven't seen what the NIPCC is
22 going to say about this. Presumably they're going to
23 say, well, yes, maybe there has been warming, but
24 there's no convincing evidence that humans caused it.
25 Fine, if that's what they want to say.

1 But the point is that the -- if E & E
2 were really interested in the science, it's out there
3 in the public domain, and they don't need access to
4 the private e-mails of the researchers in order to
5 challenge, if that's what they want to do, this
6 so-called hockey stick scandal which is not a scandal
7 at all.

8 They have all the tools they need so --
9 which really brings me to the question that you have
10 to address, and that is, you're faced with a decision
11 that was made by two university professors in
12 collaboration with an in-house lawyer at the
13 university and a public records coordinator to
14 withhold the records that are shown on the indices
15 that are on file with the Court.

16 The implications of your ruling which I
17 think was correct on what the standard of review is
18 are these. In a special action challenging the
19 decision to withhold public records, the trial
20 court's job is to give deference to the agency or
21 official that decided not to turn something over.

22 And you have to decide because they have
23 discretion in making that decision whether the
24 discretion was abused. And I picked through the case
25 law in Arizona to try and figure out how our

1 appellate courts have defined the concept of abuse of
2 discretion because it's kind of a slippery concept.

3 And what I find in the case law, Quigley
4 against City Court, Toy v. Katz, is discretion is
5 abused if the decision was manifestly unreasonable,
6 made on untenable grounds for untenable reasons or
7 had to be found beyond the bounds of reason. That's
8 what the case law says the rules are that have to be
9 applied here.

10 With those in mind, the question is what
11 is it that the -- what is it that the agency or
12 official has to weigh in deciding whether to turn
13 records over.

14 Well, I've got a couple of passages here
15 from two Arizona decisions. I didn't put the entire
16 name of each one in there, but the official sites are
17 correct.

18 The official has to decide whether the
19 release of the information will have an important and
20 harmful effect upon the official duties of the
21 official or agency. That's the Church of Scientology
22 case.

23 And if there's evidence of significance
24 risk of harmful effects, then the decision has to be
25 are those potential negatives sufficiently weighty

1 reasons to tip the balance away from the presumption
2 of disclosure and toward nondisclosure.

3 Sounds pretty simple, but it's going to
4 take me walking through a little bit of the details
5 of the record in the case to demonstrate to you why
6 in our view turning over the requested e-mails would
7 do more harm than good and that withholding these
8 e-mails was, in fact, in the best interest of the
9 State, the University and the professors that work in
10 our public university.

11 Now there are some cases that I'm sure
12 you've taken a look at, probably read more than once,
13 I would imagine, that are the three cases that
14 address this or very similar circumstances.

15 And they tell us what the key factors
16 are that an agency or official needs to look at in
17 deciding whether or not he is going to release a
18 requested record.

19 One is the potential chilling effect
20 that the release will have on otherwise legitimate
21 conduct, and you can call it freedom of association.
22 You can call it academic freedom, or you can call it
23 as the Board of Regents case said in 1991 a concern
24 about driving away people who may want to be
25 candidates for a university president's job but the

1 point is the same.

2 If the effect of release might well be
3 to chill legitimate conduct, that's something that
4 the agency has to take into consideration. In
5 addition, as the Humane Society case in California
6 tells us, it is also important to prevent impairment
7 of the academic research process.

8 And finally for our purposes today, we
9 are here -- I represent the Arizona Board of Regents
10 which administers three universities, all public, all
11 living in times of increasingly scarce financial and
12 academic resources, and it is entirely appropriate,
13 therefore, to consider the extent to which releasing
14 records might damage the ability of the university to
15 compete with private universities and other public
16 universities who may be vying for limited private
17 funds or a limited number of top-notch researchers
18 and scientists.

19 All of those factors are to be placed in
20 the balance. So turning to our record, if we start
21 with the premise that one rule at the university
22 should be applied in deciding what to release and
23 what to withhold is do not chill research innovation,
24 inventions, experimentation or other academic
25 inquiry, what does our record tell us?

1 First, Dr. Vicki Chandler, supported by
2 numerous others of our declarants as our supplement
3 in Footnote 2 will summarize for you, says a couple
4 of things that are very important.

5 Number one, that confidential --
6 confidentiality in the dealings and communications
7 between and among researchers either within the
8 University of Arizona or located in other
9 institutions around the world is a customary and
10 generally expected fact of the process of science.

11 As all of the declarations that we
12 submitted suggest, that means when people are working
13 on their research, their writing, they're trying to
14 decide how they're going to confront even their
15 opponents out there in the public domain. They all
16 assume that those communications are going to be
17 confidential.

18 She also said, quote, we all generally
19 expect that barring theft or other interception those
20 communications will be kept confidential. All of our
21 witnesses confirm this.

22 And she also says that after analyzing
23 her understanding of what this case is about, that if
24 E & E gets what it wants, that will be a violation of
25 the normal, customary standards of confidentiality

1 and privacy.

2 Now a small detour, the best interest of
3 the state test. What the cases actually say is that
4 the discretion to withhold may be exercised when
5 considerations of confidentiality, privacy or the
6 best interest of the state indicate that that's the
7 right thing to do.

8 In the analysis of these cases, those
9 three concepts sort of get mashed together, but the
10 point is what we're talking about here is a process
11 of communication among scientists using modern tools
12 like e-mail that has certain norms that apply to it
13 which would be violated in the opinion of the various
14 folks that we talked to if the university's decision
15 were overturned.

16 Just another example, Dr. Hunter
17 Rawlings, III, former president of Cornell and
18 University of Iowa and current president of the
19 Association of American Universities which is
20 apparently kind of an exclusive organization because
21 it, I think, has only 60 members who are among the
22 top -- recognized as the top research institutions in
23 the country including University of Arizona.

24 He says based upon decades of being a
25 scientist and administrator and so on, that giving

1 general access to the information requested by E & E,
2 quote, will stifle collaboration between researchers
3 at public and private institutions.

4 why? Because they will be trying to
5 collaborate in fear that there will be forced
6 disclosure of confidential material through public
7 record requests. We've -- we've been involved in
8 litigation, all of us, for a long time. We see
9 experts come, and we see experts go.

10 I think the Court should consider
11 whether or not this surprising array of leading
12 members of the academic and scientific community that
13 has come out to support the University of Arizona in
14 this case is comprised of people who have real and
15 serious concerns about what is happening here.

16 These are not experts you look up in a
17 catalog or experts for hire. These are serious
18 people with extensive records in academia and science
19 who are worried about this case.

20 well, my boss here, Professor Kimberly
21 Andrews Espy, when I asked her as the incoming
22 vice-president of the University and the chief
23 research officer position she assumed right about the
24 time we were embroiled in answering Mr. Schnare's
25 brief, her answer to this case was that needless

1 disclosure of e-mail, quote, will cause collaboration
2 not to be as free or candid as is necessary to ensure
3 research meets the standards of accuracy, integrity
4 and excellence we strive for.

5 She is obviously echoing concerns stated
6 by various other of our witnesses including Dr.
7 Chandler and Dr. Rawlings.

8 So Professor Lynn Nadel who's here in
9 the courtroom with us, the chair -- elected chair of
10 the University of Arizona faculty, he basically says
11 the same thing that the process of research
12 investigation, writing, criticism, commentary and so
13 forth is oftentimes rough and tumble but ordinarily
14 takes place with some expectation that strangers will
15 not be meddling in your day-to-day affairs so that
16 you can be open and candid while doing your work.

17 And he fears, as all of our folks do,
18 that the precedent that would be established by
19 releasing or ordering the release of these records in
20 this case would have erosive and corrosive effects
21 not just here but elsewhere.

22 Now what is more important today than
23 even two weeks ago before Governor Ducey announced
24 that he was going to propose a budget cutting another
25 \$75 million from our public universities than

1 worrying about the availability of the private
2 funding, what do our witnesses say -- what do our
3 witnesses say about that?

4 vicki chandler who is in charge of the
5 grant program at the Moore Foundation says that as a
6 private funder she would seriously question making a
7 grant to an institution that could not assure the
8 confidentiality of the researcher's communications.

9 Again, read her declaration. She is not
10 a fly-by-night person. She is a serious individual
11 with a long career in research of her own before she
12 became the science officer at the foundation.

13 Even Mr. Schnare's own witness Dr.
14 Patrick Michaels in a -- in an affidavit that he
15 filed in the Federal District Court in Vermont in
16 another case which we've attached as our Exhibit w
17 acknowledges that the big companies that were funding
18 his research to adopt the contrarian's view about
19 climate change and human contributions to it got
20 skittish when faced with the prospect that he might
21 even have to identify merely who they were, where his
22 money was coming from.

23 And he says, quote, public disclosure of
24 his private funding sources caused considerable
25 financial loss, end quote, to his business.

1 Mr. Schnare has often said that we are
2 worried about ghosts and suffering from
3 unsubstantiated fear, but his own witness in this
4 case when he was talking to another court at the
5 other end of the country acknowledged that the risk
6 of losing funding if this issue is not handled right
7 is real and tangible and measurable.

8 The whole subject of competitive
9 disadvantage which is what was talked about in the
10 University of Virginia case in which the Supreme
11 Court said we don't -- we do not want to order
12 release of documents where that would have the
13 unintended effect of placing our public university at
14 a disadvantage with respect to private institutions.

15 what's the record before us on that?
16 Dr. Joshua LaBaer is the head of the Virginia G.
17 Piper Center for Personalized Diagnostics at Arizona
18 State University. He's also teaching medicine at the
19 Mayo Clinic and as a professor of chemistry and
20 biochemistry at ASU.

21 Arizona successfully recruited this
22 fellow from Harvard University, a private
23 institution, and he hasn't been here very long. But
24 he confirms what all the other witnesses on our side
25 say and that is that the work that he does which

1 involves genotyping and proteomic analysis to figure
2 out whether you can predict diseases that may show up
3 in a particular individual based on genetic analysis,
4 that process he says requires, quote, intense, highly
5 focused and carefully compartmentalized design, end
6 quote, that the confidentiality of, quote,
7 unpublished communications data and informal
8 observations of scientists and other scholars, end
9 quote, needs to be assured.

10 And that if that's not assured, our
11 willingness -- that is the willingness of himself and
12 his colleagues -- to engage in this sort of work may
13 be reduced and our candor lessened to mankind's
14 detriment.

15 Is he only talking about theoretical
16 things? No. If you look at Paragraph 21 of his
17 declaration, what he says is if I'd known the kinds
18 of risks I might be subject to in Arizona with its
19 public record laws, that, quote, would have impacted
20 my decision to move to Arizona as part of my
21 recruitment here, end quote.

22 why does he say that? Because when he
23 was at Harvard University, a private institution, he
24 simply had no worries about this whatsoever and
25 operated under the umbrella of the notion of research

1 confidentiality and the privacy of communications
2 that everybody takes as a given.

3 Now this is the kind of fellow who if
4 you review online what they do at the Piper Center is
5 somebody we want in Arizona.

6 We don't want him to go back to Harvard.
7 We don't him to move to Stanford. We don't want Gene
8 Levy to recruit him to go to Rice University. We
9 want him here because he will do great things.

10 During the process of going through
11 categorizing documents or records, I worked very
12 closely with Malcolm and Peck, Dr. Hughes and Dr.
13 Overpeck.

14 I was really surprised as we were
15 putting that joint declaration together to hear Peck
16 say if this case goes the wrong way I'm going to be
17 among those people looking for someplace else to
18 work.

19 I was very surprised to hear that, but
20 we put it in the declaration to make a point. Mr.
21 Schnare thinks we are -- we are imagining bogeymen.
22 We are imagining nothing.

23 We are seeing the reality of what the
24 effects of this case may be if it's decided against
25 the University. We don't want somebody who

1 participated -- participated in the creation of a
2 work product that shares the 2007 Nobel Peace Prize
3 to pack up and go to Rice University, Harvard or
4 Stanford. We want him here.

5 And Gene Levy, he's a character. I wish
6 -- I almost wish we had live testimony here because I
7 imagine he would be an interesting fellow. He wrote
8 his own declaration with no help or encouragement
9 from me and said through these three things which
10 really got me focused on what the problem is with
11 competitive disadvantages that could be created by
12 public record abuse.

13 He is with Rice University. He used to
14 be the provost, and in most universities as I
15 understand it, part of the provost's job is to
16 recruit top people to join the faculty.

17 And he said, well, if I were still the
18 provost, I would not hesitate to play the public
19 records law card to underscore the risk inherent in
20 working in an Arizona university as compared with the
21 relative intellectual security of my own.

22 This is a guy with decades of experience
23 as an astro -- not only as an astrophysicist but also
24 as a university administrator and teacher.

25 He also believes based upon his decades

1 of experience that other private universities will
2 probably follow the same approach to competing for
3 top recruits as he would follow and says, also, that
4 public universities in other states would do the same
5 thing such as Virginia where his perception of the
6 Supreme Court of Virginia's decision is that they
7 have interpreted their public record laws with,
8 quote, nuanced judgment, end quote, which, of course,
9 is what we're asking you to exercise here in
10 reviewing what the University did.

11 He feels so strongly about the public
12 records card that he says that I would be derelict if
13 I failed to employ such a potentially effective
14 recruiting tool.

15 Now I suppose we could try and conduct a
16 national survey to find out if there are other people
17 who agree with Dr. Levy or if he's maybe some sort of
18 an outlier, but common sense tells us he is no
19 outlier. His own background tells us he is no
20 outlier.

21 And so where we are is we've got to --
22 we've got to assess the University's decisions here
23 in light of what we learned when we canvassed
24 preeminent people in these fields and asked them what
25 they thought.

1 They are, to put it mildly, alarmed by
2 the potential bad effects of a wrong decision in this
3 case and with good reason.

4 Now returning to this whole concept of
5 what this case was about in the first place, why
6 E & E filed the lawsuit or ATI filed the lawsuit and
7 later changed its name, I'll just go to the joint
8 declaration that we filed on January 20th.

9 And I quote it only because I can't say
10 it much better myself than the way these two
11 gentlemen, Professor Hughes and Professor Overpeck,
12 have said it.

13 If E & E really wants to put false
14 science on trial as it claims on its web site, then
15 all the research, all the supporting data, all the
16 methodological information needed to do that are
17 already in the public domain.

18 There's also been kind of a strangely
19 presented question about academic misconduct that is
20 mentioned in Mr. Schnare's papers on file with the
21 court, but he seems to somehow dance away from it
22 whenever the subject comes up.

23 But the central point is if his client
24 really thought that either Peck or Malcolm had
25 engaged in serious academic misconduct of the sort

1 alluded to in their court papers, the University has
2 procedures available to process those claims.

3 We filed those with the Court as our
4 Exhibit S, and E & E according to the way we read it
5 would have standing to file charges against either
6 Professor Overpeck or Professor Hughes. They've
7 elected not to do that, I think, because of a
8 recognition that there's nothing to go on.

9 But the point is, if academic misconduct
10 were the question, there's a procedure in place to
11 address it, the important facet of which is according
12 to the University's own procedures that process is
13 confidential.

14 It would not be accompanied with the
15 kind of public parading of e-mails that E & E Legal
16 wants to make following obtaining whatever it's
17 trying to get its hands on here.

18 The targets of allegations of academic
19 misconduct would have a confidentiality protection
20 similar to that enjoyed by the communications that
21 researchers expect and enjoy on a daily basis.

22 So just to sum up, the rejection of the
23 University's decision would turn risks into realities
24 for all the reasons described by our various
25 witnesses.

1 And Mr. Schnare often says, well, he
2 doesn't have to say what he needs it for, what he
3 wants it for and doesn't have to justify his request
4 under Arizona law.

5 That applies to making the request, but
6 once the agency comes forward as we have with
7 information plainly indicating that a misstep in the
8 release of the information will cause harm, then we
9 have to go back to balancing the risk of harm against
10 the benefit to be derived from disclosure.

11 And in a nutshell, there's no
12 discernible public benefit to be derived by the State
13 of Arizona or its citizens from placing the
14 University at the risks that have been identified
15 here.

16 So the question you have to ask yourself
17 is when the University decided that for all the
18 reasons that we very carefully articulated for you it
19 wouldn't be a good idea to release these e-mails,
20 were they acting in a manifestly unreasonable fashion
21 on an untenable factual basis and in the words of Toy
22 v. Katz exceeding the bounds of reason by deciding
23 with measured judgment that these things shouldn't be
24 released?

25 And we simply do not see how one could

1 say that sort of poor judgment had been exercised
2 here, and so we believe that the claims of E & E
3 should be rejected. Now if you have any questions
4 for me, I'd be happy to answer them. If not, I'll
5 pass the microphone to Mr. Schnare.

6 THE COURT: I do, and actually it's on
7 an issue you just concluded with, Mr. Mandig. And if
8 you could expand on it a little bit, it was my
9 understanding based on my review of the law is
10 motivation is irrelevant essentially.

11 As a consequence, Mr. Schnare could say,
12 I'm a lobbyist for the coal industry and I've been
13 hired to see if I can come up with a way to debunk
14 the hockey stick theory or whatever, because if I can
15 do that, my industry will likely benefit and we can
16 build more coal burning power plants and that's good
17 for my bosses who are paying me.

18 It's my -- my understanding is it really
19 -- it could be that. It could be what Mr. Schnare is
20 offering as his client's motivation, or it could be
21 anything in between. And it really is not relevant
22 in my initial consideration. Am I wrong? Do you
23 think I'm wrong?

24 MR. MANDIG: Yes and no. First of all,
25 one of the -- one of our leading cases is the

1 decision in London versus Broderick.

2 And in that case, the Court says that
3 the agency or official may reject a public record
4 request if it would be unduly burdensome or
5 constituted -- and this is the Court's word, not mine
6 -- harassment.

7 And I guess when putting in the balance
8 the claimed needs that E & E put in its opening brief
9 and then ran away from claiming their motives are
10 irrelevant, if you put -- if you put the flimsy basis
11 upon which they are saying they need this information
12 and you balance it off against the possible harm that
13 would result from turning it over, that is a
14 legitimate thing for the University to consider.

15 And if the University has some basis for
16 concluding that their -- that E & E's claims of need
17 are really false premises as we say they are, then
18 you have to ask yourself is that a bad judgment on
19 the University's part or not.

20 Let me give you a couple of examples.
21 There's the case of A.H. Belo against -- I think it
22 was Mesa Police Department public record request in
23 which I don't remember whether it was Mesa Tribune or
24 who it was, but one of the papers up there in east
25 Maricopa County asked for the actual verbatim tape of

1 a 911 call.

2 And it involved an au pair who had care
3 of a child. The child ended up dead, and the au pair
4 was being prosecuted or investigated. I forgot
5 exactly which.

6 The police department gave the
7 requesting party a printed transcript of the 911 call
8 but declined to give them the actual audio recording.
9 And the question before the Court was are they
10 required -- were they required essentially to give up
11 the audio recording because it is what it is.

12 And the Court concluded that the privacy
13 interests of the family were outweighed by the need
14 or professed need that the newspaper claimed for
15 wanting to have that actual audio recording, wanted
16 -- may have been -- actually it was a TV station.

17 And the Court said, no. You got the
18 substantial equivalent of the audio recording in
19 printed form, and we're not going to put the family
20 through that. They have privacy interests that are
21 entitled to be protected.

22 And so, therefore, the media did not get
23 the actual audio recording because they had the
24 substantial equivalent through other means.

25 That brings me back to the point I made

1 early on and that is, you know, if there really was
2 some desire to, quote, put false science on trial,
3 end quote, they have all the ammunition in the world
4 to do that. It's all out there, and they can find it
5 on the Internet just like I did.

6 And what our cases say is if those
7 privacy or confidentiality interests are just not
8 very heavy or the public claimed interest in the
9 information they're after is not very substantial and
10 the probable risks of disclosure are pretty heavy,
11 then the agency is justified in saying, no, we're not
12 going to give it to them.

13 And so it is important whether E & E
14 Legal is fishing for something that can be clipped
15 out of context from an e-mail to suggest to the
16 outside public that the spirited debate normally
17 engaged in by scientists is really they're talking
18 mean about somebody else.

19 well, that's just not enough of a reason
20 to force the University to accept the kinds of risks
21 of harm that have been described by all of our
22 witnesses.

23 Another example, the Scottsdale Unified
24 School District case is another one involving Phoenix
25 newspapers making a public record request. The

1 newspaper asked for a list of the names and birth
2 dates of the teachers employed by the school
3 district, and the district evidently gave them names
4 and refused to give them the birth dates.

5 The case comes up to the Appellate
6 Court, and the question is what was the reason for
7 asking for the birth dates.

8 The newspaper said they wanted to run
9 criminal background checks on all the teachers
10 employed by Scottsdale Unified School District, and
11 the Court said, sorry, you don't need to do that.
12 They got screened when they were hired.

13 And I think if I remember correctly the
14 district was also running criminal background checks
15 of its own to update its records or some such thing.

16 And so the Court basically said, you
17 know, your claim that you should be given this so
18 that you can do criminal background checks is at best
19 a speculative justification, and that's the word --
20 words used by the Court were speculative at best.

21 We really have the same thing going on
22 here. It is pure and simple conjecture, speculation
23 and fantasy that E & E thinks it will uncover
24 evidence of hidden academic misconduct or uncover
25 evidence indicating that the conclusions reached by

1 Doctors Hughes, Mann and Bradley in 1999 are really
2 fake.

3 why? Because we all know now after the
4 continuing evolution of science that their
5 conclusions have been confirmed in spades.

6 So the short answer to your question is
7 when you -- when you confront real actual harm that's
8 likely to occur if the release takes place, then you
9 do have to weigh the public interest that's advanced
10 by Mr. Schnare who says, well, the public
11 presumptively is entitled to what I want.

12 And they're only presumptively entitled
13 to ask for it. Once there's evidence that turning it
14 over will be a bad thing on balance, their professed
15 purpose and motivation is important as shown by those
16 two cases. Anything else?

17 THE COURT: No. That's it. Thank you,
18 Mr. Mandig. I appreciate that.

19 MR. MANDIG: All right.

20 THE COURT: Anybody need to take a break
21 before we get started? Are you all right, Mr.
22 Schnare?

23 MR. SCHNARE: I'm okay. Did you want to
24 take a break?

25 MR. MANDIG: No. That's all right. I'm

1 just going to get some water.

2 THE COURT: Mr. Schnare, your thoughts.

3 MR. SCHNARE: All right. Well, as a
4 Ph.D. scientist and I worked for TPA for 33 years as
5 a science policy and attorney and having had academic
6 appointments as well, I have to admit that I haven't
7 had so many words put into my mouth since
8 Thanksgiving dinner with my twin sister.

9 Counsel has said over and over and over
10 what it is we either said or thought or intended,
11 most of which is inaccurate, and I'm not going to
12 spend time on it because most of it's irrelevant.

13 I had intended to have a very fancy
14 presentation for you, but Katy Perry backed out at
15 the last second, Your Honor, and I couldn't replace
16 her.

17 But what I would like to do is begin
18 with a review of the standard of review reply and
19 cite to the case law on this.

20 I started out by saying the legal
21 presumption favors disclosure. It doesn't. That is
22 not the standard for asking for the information.
23 That is the standard that you apply as you do a de
24 novo review of these documents.

25 You are asked -- being asked to do a --

1 and it's not really a balancing between the value of
2 disclosure or the presumption of disclosure and the
3 effect on the State.

4 The balancing is really inside this
5 question of what's the best interest of the State
6 because there are going to be some that favor and
7 some that harm within the interests of the State, and
8 you've got to balance those.

9 It's a tough job as Justice Scalia
10 explained it. These kinds of rule reason analyses
11 are like trying to determine whether the length of
12 the line is greater than the weight of a rock.
13 Nevertheless, you must do it.

14 So I begin with Moorehead v. Arnold,
15 Arizona law 1981, states publicly funded activities
16 are not meant to be clothed in secrecy but are
17 subject to open discussion and debate.

18 And in the sciences, this is
19 particularly important where you have an extremely
20 influential area of investigation that drives public
21 policy.

22 It is not merely the published report
23 that's important. It is the process by which the
24 results arose and any potential biases within them
25 which is why for some reason counsel for opposing

1 wishes to know or concentrate on asking the question
2 who gave you the money to do your research as though
3 these faculty members would be influenced by who gave
4 them their money.

5 I don't think they would. Honest
6 scientists never are. You give me more money so I
7 can do my work but back off. I'm not doing my work.
8 Possibly the greatest line in Ghostbusters, back off,
9 he's a Ph.D.

10 Carlson v. Pima County, to withhold
11 records, ABOR must show the release of the documents
12 result -- would result -- not may -- would result in,
13 quote, substantial and irreparable private or public
14 harm.

15 That's the question before you. Is it
16 substantial and is it irreparable. I submit to you
17 they've offered no evidence showing that that will
18 happen.

19 Cox v. Collins we discussed in our
20 opening brief, Page 13, also makes clear that
21 generalized claims are inefficient. They must be
22 specific. who would be harmed, how would they be
23 harmed, to what degree would they be harmed.

24 This would have to take the form of,
25 quote, harmful effects on the duties of the officials

1 or agency in question, Arizona Board of Regents v.
2 Phoenix Newspapers.

3 As has been stated, I think, five times
4 -- I counted him saying it -- ABOR offered a
5 statement that we have made and we believe is clear,
6 nothing but unsubstantiated opinion. It may be
7 opinion from well respected people.

8 It remains simply opinion and fear that
9 it would suffer any harmful effects and has offered
10 no actual evidence of substantial or irreparable
11 harm.

12 We, on the other hand, have offered
13 specific evidence showing that release of these kinds
14 of records at universities has not caused these
15 harms, and that's an important point, Your Honor.
16 Where other universities release these records, they
17 haven't suffered at all.

18 According to whom? According to their
19 own professors, according to the deans who are
20 responsible for recruitment, and I'll go through the
21 list in detail in a moment.

22 Let me go back over the eight --
23 actually the nine categories that we were here to
24 discuss. Category 1, not public records.

25 We didn't ask for things that weren't

1 public records. They identified none that were not
2 public records. It's not -- it's an empty set.

3 Category 2, ongoing research. We have
4 made the point from the get-go that research that is
5 underway must be protected. Absolutely. That is the
6 competitive advantage the university has, and before
7 the Supreme Court of Virginia I made that argument
8 and explained that's what competitive advantage means
9 and that's what they adopted as their rule.

10 Competitive advantage is where the
11 university has work ongoing that no one else should
12 have -- be allowed to steal from them. Now the Dow
13 case that's been referred to by others, Dow Chemical
14 v. Allen, it's a perfect example where Dow tried to
15 come in and get their hands on faculty research while
16 the research was underway.

17 And the Court held, no, you can't have
18 it. Dow wanted to know it because the research was
19 on the effects of pesticides that Dow sold. They
20 wanted to know if they were in trouble.

21 The Court held that this involved a
22 subpoena for data prior to completion of publication
23 of the research, and the facts before us show the
24 potentially probative evidence will not be available
25 for months or years.

1 That's a legitimate holding. There were
2 very few documents they put in Category 2, but of the
3 ones they did, they gave no specificity whatsoever as
4 to what it was about. Now I haven't seen the
5 documents, and you have.

6 The question is, who's doing that
7 research today? What graduate students are working
8 on it? How much money have they got into it, or is
9 it just another idea they really sort of would like
10 to pursue someday?

11 THE COURT: And let me stop you there,
12 because I think in at least one affidavit -- probably
13 several but one of them is just coming to mind -- is
14 coming to mind, excuse me -- one of the affiants
15 indicated that what will happen during research of a
16 bigger picture project is smaller research projects
17 will necessarily spawn from it.

18 And as the bigger research project moves
19 along, we -- I'll use the legal term, not the
20 scientific term -- the relevancy of that smaller
21 legal -- or excuse me, that smaller research project
22 that is kind of part and parcel of the bigger project
23 at the time kind of falls off by the wayside.

24 I seem to recall probably at least -- at
25 least one, probably several of the affiants saying

1 what happens is we come back 10 years later and
2 something happens and technology -- and we come back
3 10 years later and the relevancy of those smaller
4 research projects basically blooms again. what's
5 your thought on that?

6 MR. SCHNARE: I have two thoughts on
7 that. First of all, there's a good example of that
8 in what is labeled Exemplar Number J.O. Number 2
9 which apparently identifies a document or some
10 documents where there was ongoing research at the
11 time, but Professor Overpeck dropped out of that
12 collaboration. And he didn't even know if that
13 research continued or not.

14 THE COURT: Give me that exemplar one
15 more time.

16 MR. SCHNARE: J.O. Number 2.

17 THE COURT: Those would have been one of
18 yours submitted?

19 MR. SCHNARE: No. It's -- this is on
20 the --

21 THE COURT: Oh.

22 MR. SCHNARE: -- amended --

23 THE COURT: Never mind.

24 MR. SCHNARE: -- list. And so this is
25 an example of several problems, one of which is a

1 complete lack of specificity, you know. I don't know
2 -- he doesn't know whether the research is ongoing or
3 not, but clearly his involvement, it's over.

4 Now here's how it works. Let us say --
5 I used this example in Virginia and it was -- it was
6 -- it raised some eyes.

7 Let's say a professor's working on a
8 cure for cancer, and she moves down the road. She
9 just doesn't have success and doesn't have success.
10 It's just not going well, and then she drops it and
11 goes on to something else.

12 And then she stops, and she takes a
13 mommy sabbatical. That's a sexist term but it's, in
14 fact -- the concept is she dropped off for a while
15 because she wanted to spend time with her children.

16 MR. MANDIG: More appropriate might be
17 maternity leave.

18 MR. SCHNARE: You can call it that, too,
19 because it could be also daddy leave. I mean, it's a
20 situation where a parent says I'm dropping my
21 professional work to do something with my family, and
22 10 years later comes back and says while they were
23 out, gosh, I had a really bright idea. Now I want to
24 pursue it.

25 I was just sitting around folding

1 clothes and I got it. Now they want to pursue it, so
2 it's now 10 years later.

3 A young student who actually looked at
4 the same papers that were available at the time might
5 have only six months later had that idea but only if
6 they had access to that preliminary unpublished work.

7 And so without access to that kind of
8 knowledge, you lose nine-and-a-half years. How many
9 children die of cancer in the meantime? And that is
10 why, yes, people would like to come back to work.

11 And God knows I've been in the
12 university system where some guy will come out and
13 say, here, I looked at this a while ago, I could
14 never make it work, take a look at it.

15 If, in fact, a university is creating
16 knowledge, it isn't all going to show up in a
17 published paper, never will. It is that which
18 especially has been abandoned for a period of time
19 that may very well lead to something new, but who
20 owns it?

21 well, ideas come out of a head, but the
22 data that spawns the ideas do not. And so if you
23 have an idea and you document it and you say, gee, I
24 think I want to look at this later, I think that's
25 protected.

1 If you simply have information and
2 thoughts -- for example, Professors Overpeck and
3 Hughes at the end of their papers will routinely as
4 all professors do say this is what we did, this is
5 what we looked at, this is what we found, final
6 paragraph, here's the stuff we think we ought to do
7 next. Here are the ideas where we ought to do
8 additional research.

9 why do they do that? For the simple
10 reason that the funding agencies when they read these
11 papers will go, okay, that's on our list, things that
12 need to be examined. They've already made it public.

13 And so the question becomes very narrow
14 and very case specific is this e-mail an e-mail that
15 contains a specific, well organized thought that
16 deserves protection, or is it just a generalized
17 thought that is of the kind that people ought to be
18 able to look at because it will spur a research.

19 And we have offered faculty to discuss
20 this. Professor Ferraro said when scholars say the
21 release of records generated to research may have a
22 chilling effect, they're unlikely referring to
23 research notes, their materials.

24 Such records are generally quite
25 mundane, boring, even incomprehensible to an average

1 layperson, but that's not to say that they aren't of
2 value to that layperson.

3 For what it's worth, the professor goes
4 on to say, the release of such records is likely to
5 -- if -- let me see -- put it this way. What
6 scholars are more likely to fear is the release of
7 records demonstrating uncivil discourse, one of the
8 fears Mr. Mandig offered.

9 The release of such records is likely to
10 embarrass researchers and to chill incivility in the
11 future. Chilling incivility is not something that's
12 a bad thing. It's in the best interest of the State.

13 So much for Category 2. There are very
14 few there, but there are ones that apply.
15 Category 3, free publication research. ABOR claims
16 this is a customary privilege.

17 what they do in using this term
18 customary privilege is expansive. It is very much
19 like the brief filed by AAUP. They go into emotive
20 form saying this is going to cause the end of the
21 world because when research is ongoing it deserves to
22 be protected and it's customarily privileged.

23 we just dealt with that. Take that, set
24 it aside and ask, all right, what about information
25 prepublication. I think that, too, should be

1 protected. until the publication is done, it
2 shouldn't be released.

3 And this is the practice even in
4 universities that give out this kind of information
5 later. It is also enshrined in law in Arizona saying
6 once the subject matter has been released so must all
7 the other material.

8 THE COURT: Isn't that -- I'm sorry, Mr.
9 Schnare. Let me just --

10 MR. SCHNARE: Yep.

11 THE COURT: Isn't that the crux of a big
12 part of the argument, the prepublication material for
13 the IPCC? I mean, isn't that something that -- a big
14 driver here?

15 MR. SCHNARE: The pre -- the intercourse
16 between parties writing a report should be protected
17 until the report is published.

18 Once that's done, by being able to look
19 at that, you find out what did they include, what did
20 they not include, why didn't they include it, does it
21 demonstrate bias of any kind, does it generate other
22 ideas for further work, all of the things that are
23 extremely valuable and impossible to obtain absent
24 those discussions.

25 THE COURT: And isn't that the chief

1 gate that you're asking me to open?

2 MR. SCHNARE: That gate's already open,
3 Your Honor. It's open at the federal level now. The
4 IPCC communications are considered public records and
5 are released to the degree that they're available to
6 the federal government routinely.

7 THE COURT: Within the scope of this
8 lawsuit, though, isn't that -- isn't that the main
9 gate?

10 MR. SCHNARE: That's one. I don't think
11 it's the only one but I think it's -- based on what
12 they've written, apparently there's rather a large
13 amount of that in here.

14 THE COURT: Okay.

15 MR. SCHNARE: All right. Student and
16 personnel information, let me just say one word about
17 this. Student information is protected by federal
18 law.

19 It does not protect, however, what's
20 known as Facebook information. Now I'm old enough --
21 some who aren't -- to remember when we were freshman
22 there actually was something called a Facebook.

23 It was a pamphlet, had your picture, had
24 your name, what sports you played, what major you
25 might pursue, what your hometown was.

1 The courts have said that's not the kind
2 of information that's protected. What's protected is
3 your performance, your grades, evaluations by
4 faculty. That's protected. Your home address is
5 protected.

6 However, we're dealing with a university
7 system where masters and Ph.D. candidates are doing
8 research. It may be for their own thesis or
9 dissertation, but often they have assistance to a
10 professor doing other work.

11 And communications about that work are
12 not student records. They're just university
13 research public documents, especially if the students
14 are paid a fellowship under the federal or the state
15 system.

16 And so you've got to -- when you look at
17 that argument, you've got to ask what was the
18 information that they claimed was, quote, student
19 information.

20 And there's only a couple of those in
21 here, but it bears some attention to ask are they
22 simply spreading this cape too broadly or is there,
23 in fact, some material here that truly shouldn't be
24 released because it has to do with evaluation of the
25 student.

1 All right. Personal correspondence not
2 related to work, we didn't ask for any of that. If
3 there's any in here, it wasn't part of the reasonable
4 request. The only important point to be made on this
5 is you've got -- you've got to understand the scope
6 of what a professor's job is. It's teaching, service
7 and research.

8 well, teaching and research are pretty
9 clear and easy. Service is another matter. If the
10 service a professor does is, for example, with a
11 professional journal as an editor or reviewer on a
12 committee for a professional association, that's part
13 of service that's credited by the university towards
14 tenure. That's work.

15 If it's to be a leader of a Boy Scout
16 troop, that is not. Even though that's service the
17 university may credit, we generally recognize that
18 that's not what people would call work related. It
19 is, in fact, personal.

20 The big categories are seven and eight,
21 and I'm going to come back to seven when I talk about
22 substantial and irreparable harm. On eight, I'll
23 come back to this repeated times, but it's a point
24 that I've made and I want to emphasize it.

25 what is in the best interest of the

1 State is that there is lots of information that has
2 not been published, and it is that information that
3 is valuable. And it is in the best interest of the
4 State and the University and the people at large to
5 be able to look at that for a whole vast number of
6 reasons.

7 If a professor comes under attack like
8 Professor Wegman at George Mason University --
9 Professor Wegman was asked by Congress to examine a
10 controversy, a controversy that you saw on the screen
11 a little earlier.

12 Professor Mann was the statistician
13 behind the Bradley, Hughes and Mann paper, the two
14 papers, and Steve McIntyre whose head specialty in
15 mathematics looked at their effort and said, you did
16 it wrong.

17 The whole book has been written about
18 how they did it wrong, how they impeached the
19 statistical analysis that underwrote that
20 demographics, and the arguments between them were
21 massive, loud, ugly.

22 And so the Congress asked an independent
23 professor of statistics -- Ed Wegman -- tell us
24 whether McIntyre's right or Mann's right. We want to
25 know the validity of the analysis, so Wegman did it.

1 while wegman was doing it, he receives
2 -- he was peppered by e-mail requests, and the
3 university said, it's ongoing research, we're not
4 going to give that up. And that was wegman's view.

5 when he finished the report, it had been
6 peer reviewed, and it had been published. At that
7 point, they all came back in and said, give us the
8 e-mails.

9 within five days in electronic form,
10 they released them all. That's normal at that
11 university. Any potential biases wegman may have
12 had, any potential discussions he had with both
13 parties were made available to everyone so that they
14 could find that Mann had not responded to questions
15 wegman had requested and McIntyre had.

16 And wegman was forced to make
17 assumptions about what Mann did but had to make no
18 assumptions about what McIntyre did. And it is that
19 level of knowledge -- it's not going to show up in
20 the final report but helps us understand the quality
21 of the work that wegman did.

22 And so when you examine this question of
23 the best interest of the State, you have to ask
24 yourself is that information, it's released, is there
25 value in it, not what I want to know or what my

1 purposes and intents are which have nothing to do
2 with what he said there.

3 It has to do specifically with is there
4 value to be gained and have I made the argument that
5 there is.

6 Category 9 is others otherwise withheld,
7 and they didn't claim anything in Category 9. So I'm
8 only going to address it peripherally.

9 What I want to point out now is that the
10 University has offered a number of very nice, very
11 fine professionals saying things like, well, we're
12 worried that this could happen. We're concerned that
13 this could happen. This might happen.

14 They never pointed to a single example
15 of something that did happen that is adverse to the
16 State. For example, Dr. Rawlings' statement giving
17 general access to the information will stifle
18 collaboration, how does he know that?

19 Well, it's pretty hard to say that it
20 would when Hughes and Wegman who have both been
21 subject to this kind of request for e-mails have
22 continued to produce collaborative work after release
23 of e-mails that they had.

24 Keep in mind this isn't the first time
25 Arizona has been asked to release e-mails of this

1 kind. They were asked to do so two years ago, and
2 they did. And, in fact, they released several of
3 them in this case, two of which we gave you as
4 examples in our reply brief.

5 One of those is extremely short, and I
6 think I'll point to it. It's a January 1st, 2001,
7 e-mail from Michael Mann to Ray Bradley, Phil Jones
8 and Professor Hughes.

9 MR. MANDIG: Can I have a record
10 reference, counsel?

11 MR. SCHNARE: It's Exhibit 1 of our
12 reply brief.

13 MR. MANDIG: Thank you.

14 MR. SCHNARE: And it's a single
15 paragraph long. It says, seen this, I told Rob there
16 were problems -- there were problem (sic) with the
17 comparison because he needed to subsample our
18 reconstructions over similar spatial domain, then
19 he'd get a closer result, but I was encouraged that
20 his method gave a somewhat more reasonable --
21 parenthetical, closer to ours -- result. Then
22 Pollack, et al, got the same data. He argues that
23 his method is more reliable.

24 All right. That's the kind of
25 discussion that doesn't show up anywhere, but if you

1 have it, you can look at it. You can go back to
2 those papers. You can say, what was that about and
3 why were they arguing that? What was underneath that
4 discussion?

5 Now one of the things that Mr. Mandig
6 started with was a suggestion that we didn't ask for
7 data and a whole long list of things. Well, he
8 doesn't understand how people do investigations, or
9 perhaps he does but didn't wish to talk about it.

10 You pull a string, Your Honor, and you
11 see what unravels. Obtaining e-mails gives you the
12 opportunity to say, all right, what is the area in
13 which I wish to do further examination. What will I
14 ask for next?

15 We have a set of FOIAs into the Federal
16 Energy Regulatory Commission right now. The first
17 one produced a series of e-mails where we finally
18 identified who was doing what about an issue we cared
19 about.

20 And we went back with a second one, and
21 then they gave us some more and redacted a bunch. We
22 thought, you know, the fact of their redaction leads
23 us to ask a much more pointed set of questions. We
24 went with the third one, and now we're in court
25 because they don't want to give that up.

1 But that's how it works if you're doing
2 the kind of work that we do where we're trying to
3 find out what's going on inside the government, and
4 that is all we were trying to do here in large part.
5 How did they work.

6 So ABOR's already released e-mails of
7 the kind we've sought in this case. Their desire for
8 confidentiality is in and of itself insufficient.

9 In our reply brief at Page 37, we made
10 this point citing to Moorehead v. Arnold. The
11 promise -- quote from the case, the promise of
12 confidentiality standing alone is -- let me back up.

13 Under Arizona law, not even the promise
14 of confidentiality standing alone is sufficient to
15 preclude disclosure. An individual's desire for
16 confidentiality to keep public records from the
17 public view does not present the material harm
18 necessary.

19 The mere fact that they want to think --
20 keep things confidential isn't enough. There has to
21 be a reason why which is why the Category 2 stuff
22 needs to be kept confidential and you don't release
23 it.

24 But why -- the critical discussion going
25 on once the subject area's been published or the

1 paper's been published, that confidentiality's no
2 longer necessary.

3 And Cox Arizona Publications v. Collins,
4 1991 Arizona Appellate Court case -- I'm sorry, Your
5 Honor. I think I cited -- I wanted to cite to the
6 Arizona Board of Regents v. Phoenix. There is -- nor
7 is there specific material harm once investigative
8 work is stale.

9 Once it's done, that's what the courts
10 have found. That was Cox Arizona v. Collins. If
11 it's stale, if it's done, there's no harm, and there
12 shouldn't be.

13 That's what universities are for.
14 That's why we do the work we do. That's why young
15 men and women come out of these schools and they're
16 valuable because they have all this knowledge that's
17 never been published.

18 That's what people want to get at
19 sometimes, and that's what the public has a right to
20 see. It shouldn't be kept secret. It should be made
21 available.

22 And, in fact, after we filed in the
23 University of Virginia case, the university
24 psychology department cleverly went out and got a
25 \$5 million grant to mount a web page for unpublished

1 data and is now recruiting their faculty to put the
2 stuff that didn't get published up on the web site so
3 that anybody can see it and could help use it.

4 And if that's the institutional response
5 of a university that didn't want to give up its
6 e-mail, then now you see what the confidentiality
7 boundaries are. Recruitment or retention has not
8 been harmed.

9 Dean Rychlak stated no harm from FOIA
10 results due to the plethora of equally competent
11 professionals able to fill these positions, and
12 there's great competition for these positions.

13 Now if you release these documents and
14 Dr. Overpeck decided he's going to move on, that's
15 his choice. I didn't see a declaration from his
16 spouse saying, yeah, I'm ready to leave. I know,
17 let's go to Montana where it's cold.

18 what he said was I'm unhappy. Okay.
19 But it might be in the best interest of the state for
20 you to be unhappy and let others see what's going on,
21 because I assure you, Your Honor, it's not like there
22 aren't other potential faculty members with the same
23 skill set and capable of doing the same job
24 conceivably at lower cost and with more vigor because
25 they're young and ready to go.

1 It is not necessarily in the State's
2 best interest to hold onto every professor forever.
3 They choose to do that, but that doesn't mean it's in
4 the best interest of the State. And where it's
5 self-inflicted, that's another matter.

6 Professor LeBaer had to know when he
7 came to this university that he was going to be
8 subject to the FOIA laws. You have to sign a
9 document saying you understand how the e-mail system
10 works and that the potential for release of those
11 e-mails exists under the statute. He knew that, and
12 he came here anyway.

13 And Dean Rychlak said he's never in all
14 of his career had someone show any concern about the
15 fact that they would be subject to FOIA. That was
16 simply not part of the equation of recruitment or
17 trying to find a job at universities that routinely
18 give up these e-mails.

19 Those are facts. That's not fear.
20 That's a long history of fact showing it's not a
21 problem. It's not an issue. Research money has not
22 dried up.

23 Professor Ferraro, Professor Rychlak
24 both make this point. Money is there because the
25 issues that are identified that need to be addressed

1 are agreed to as ones deserving of funds.

2 Now let's take up this question of
3 private funding and a reference made about, gee, if
4 you can't control your own information, then I'm not
5 sure we want to fund you.

6 This goes back to the Dow case. The
7 control of the information is protected in two ways.
8 one of them is if it's prepublication and you haven't
9 -- you're not ready to release the data, it should be
10 protected. That's fine.

11 If it is confidential business
12 information -- and many corporate sponsored research
13 projects at a university include confidentiality
14 requirements with binding legal documents. Those,
15 too, are not subject to release because under the
16 Public Records Act those are protected.

17 So the question then becomes what is it
18 that they're talking about. well, the reality is
19 they're talking about pretty -- pretty completion of
20 research documents. we've already dealt with that.
21 No one is saying that afterwards this material
22 shouldn't be available.

23 There's a case in the U.S. Court of
24 Appeals for the District of Columbia right now where
25 the Environmental Protection Agency has simply

1 refused to give out the raw data, and Judge Tatel is
2 sitting up there saying how can anybody evaluate your
3 work if you won't give out the data?

4 And that's -- that's the problem we see.
5 Public Records Acts are intended to allow the release
6 of that, and Arizona law as we've stated in briefs
7 say once the subject matter is published, the
8 underlying information is to be publicly released.

9 Collaboration has not diminished.
10 Professor Hughes and Wegman, both subject to this,
11 have continued to produce collaborative work. We've
12 outlined at some length what Professor Hughes has
13 done since the release of the Climategate ones but
14 also since the release of the earlier e-mail release
15 under another case and a different requester.

16 They argue they taugt -- they say,
17 gosh, this is horrible. Someone's going to look over
18 our shoulder, but they keep doing the work.

19 We referred to a study that was done
20 with regard to recombinant DNA studies and the use of
21 embryonic cells, and the question was, is all the
22 hullabaloo about using embryonic cells on one side
23 saying that's life and if you harm them you're
24 killing a human being, on the other side saying it's
25 just a cell, we're doing research on it. Highly

1 emotional.

2 There have actually been threats against
3 professors, and so a study was done as we describe in
4 brief as to whether this would, in fact, slow down
5 the research in that area. And there were two
6 outcomes.

7 One, the question was, with this -- do
8 you think this has had harm on the research, and a
9 lot of people, about 55, 56 percent, said yes.

10 Then they asked the question would it
11 stop you, and 80 percent said never stop me. I don't
12 know about the other 20 percent. I do know it was a
13 self-selected sample, and it wasn't a very good
14 sample.

15 And it was people who are most
16 interested in the subject, but the reality is the
17 work goes on because it's what they do. It is their
18 life.

19 And the collaboration is a function of
20 being able to do that work better with people they
21 know or people who have other ideas. That is the
22 nature, and it has been going on at universities that
23 release e-mails routinely.

24 And I reemphasize that's a fact, that
25 collaboration continuing after release of e-mails by

1 wegman is a fact. It's not a fear that won't happen.
2 It's a fact that it has happened. And as you do your
3 weighing, you've got to weigh facts against fears.

4 Has academic thinking been tempered
5 with? well, considering the protection of the
6 Category 2 data which we've agreed should be
7 protected, the University's offered absolutely no
8 evidence whatsoever that academic thinking has been
9 harmed.

10 They haven't given a single example. I
11 couldn't find any description of it in their -- in
12 their log.

13 There's no evidence in the record that
14 it has been and there are -- there is strong evidence
15 that we've offered in our expert saying it hasn't
16 been a problem anywhere where these e-mails are
17 routinely released.

18 People think, and there's even a
19 discussion by a gentleman who's name I can't even
20 begin to pronounce. You'll recognize it. It's about
21 16 letters long in our reply brief that says here's
22 the process by which you do research and thinking.

23 And the only one that could possibly be
24 released is the pre-research during research
25 prepublication period which as we've said is

1 protected. So you may wish to go back and look at
2 that one statement.

3 Have communications been truncated?
4 I'll come back to Dr. Rychlak in a minute who
5 describes this. I've talked about competitive
6 disadvantage, so I'm not going to talk about it
7 again.

8 Deliberative process was something that
9 was raised at some point, but they backed away from
10 it. They haven't identified a single document that
11 says it's deliberative process protected, and it's
12 not covered in the law.

13 Now let me make four -- four points that
14 I intended to make, and I might catch up a couple of
15 others. I hope to do it quickly, Your Honor.

16 First of all, in their second sur-reply,
17 the University raised a new argument. This is why I
18 said I don't think I need to file a response.

19 Their argument was that there's a
20 freedom of association, a constitutional freedom of
21 association right that would be impinged if these
22 e-mails were released.

23 Citing to Bates v. Little Rock, they
24 make the point that fear of community hostility and
25 economic reprisals could lead to -- and there was in

1 that case substantial evidence that public disclosure
2 subjected the NAACP members to harassment and threats
3 of bodily harm.

4 well, this case was in the '50s. It was
5 about who were the members of the NAACP. It was
6 clearly an effort by people not of goodwill to create
7 a target list for their incendiary attitudes.

8 Now I know Mr. Mandig would like to
9 complain that we are just as evil as those people,
10 that we are somehow or another trying to harass
11 faculty.

12 We're not trying to harass faculty. We
13 are going where the information that we want to look
14 at happens to be.

15 We recognize that there is this coterie,
16 this small group of people who have controlled a
17 subject area on which the United States has spent
18 over the last six years, eight years -- eight years,
19 \$6 billion of federal funds to address.

20 It's a lot of money, and it's being
21 controlled on the scientific level by this small
22 group. They call themselves the hockey team. We
23 know who they are. We don't need to find their
24 names.

25 The question is, how do they do their

1 work? What biases do they have? The argument was
2 made earlier today that we have a Nobel laureate here
3 in the room. Well, we don't actually.

4 Michael Mann got up and said he, too,
5 was a Nobel laureate, and the Nobel committee came
6 down and said no, no, we didn't award it to the
7 people. We awarded it to the product of the IPCC.

8 That doesn't diminish the fact that he's
9 done fine work, very good work, but he's part of a
10 group that doesn't want other people to publish
11 papers that disagree with him.

12 They attempted to and succeeded in
13 getting a journal editor fired, and they discussed it
14 in some of their e-mails that we've seen.

15 Now that's not something professors are
16 supposed to do. That's inappropriate to attempt to
17 control an area of subject and not allow others to
18 investigate it and to diminish them by so doing.

19 And if that's been going on, Professor
20 Krauss, professor of ethics at George Mason
21 University who is very protective of faculty and
22 would never want them to be harmed, has said where
23 there's some of that kind of evidence, then the facts
24 should be made public, not the investigation.

25 Universities will do investigations.

1 They did a whitewash at Penn State. It's been well
2 documented. That process is a process that's
3 protected, but the underlying facts of the situation
4 Krauss says that should be released.

5 And so we don't know if there's any of
6 that in here. What we do know is it's a string that
7 we're pulling. Let's see what is there. Let's see
8 if we can exonerate some of these people.

9 We know that some of the people involved
10 in that discussion said this is wrong, we shouldn't
11 do it, and that's the kind of information that may
12 well be in these records.

13 So you don't know ahead of time what
14 it's going to say. All you know is that it's
15 important to know.

16 In Shelton v. Tucker -- I'm going to
17 give you the cite, Your Honor. It wasn't -- it's not
18 in our briefs. Shelton v. Tucker, 364 U.S. 479 at
19 485, Supreme Court case 1960, it explained why Bates
20 doesn't apply which was the case to which opposing
21 counsel referred.

22 And it said in those cases there was no
23 substantially relevant correlation between the
24 governmental interest asserted and the effort to
25 compel disclosure.

1 Here by contrast there can be no
2 question of the relevance of a state's inquiry into
3 the fitness and competence of its teachers.

4 well, if the State has a right to look
5 into the competence of its teachers, the facts about
6 that are discovered are public records, and they
7 should be released.

8 And if the State can do it, one then has
9 to ask who is the sovereign? The sovereign is not
10 the University. The sovereign are the -- are the
11 citizens of the state. They have a right to this.

12 They may not have a right to what
13 happened during the investigative process. They have
14 a right to the facts.

15 Now with regard to Professor Overpeck's
16 statement that he wants to leave, if you -- he didn't
17 say would. He said he might -- for calling -- for
18 going there, calling rather than compromising their
19 commitment to the intellectual and political freedom
20 is a peculiar and narrow investigation.

21 In Elfbrandt v. Russell which is the
22 case they cited, it had to do with a loyalty oath in
23 -- at the time of the McCarthy era. No one is asking
24 people to make loyalty oaths anymore, and this case
25 isn't about loyalty oaths.

1 This is a case about behavior, what
2 happens, who did what, how did they do it, why did
3 they do it, what can we learn from it. In a case --
4 again, I give you the cite, Your Honor. It's the
5 Second Circuit case, McBride v. Roland, 369 F2nd 65,
6 at Page 68, the rights he clings to, freedom of
7 association, freedom to allow a chosen profession,
8 freedom from unreasonable governmental enterprises --

9 THE COURT: Slow down a little bit so
10 the court reporter can get it. Thank you.

11 MR. SCHNARE: The right he claims --
12 this is a quote from the case. The rights he clings
13 to freedom of association and freedom to follow a
14 chosen profession, freedom from unreasonable
15 governmental influence -- I'm sorry, interference are
16 constitutionally protected.

17 To succeed, however, he must show not
18 only that the right is one entitled to protection but
19 that his deprivation of it was without due process,
20 that is, arbitrarily or without sufficient evidence
21 or that the government interference is unreasonable.

22 well, based on what they're saying, they
23 want you to write the universities out of the Public
24 Records Act. That's what -- that's what they're
25 asking you to do.

1 They're saying the legislature has no
2 authority to allow someone to look behind the ivory
3 covered walls and, therefore, that is governmental
4 interference that's unreasonable.

5 And clearly, because they've already
6 released many e-mails, they don't think it's
7 unreasonable.

8 The fear factor, Your Honor. The Humane
9 Society case explains that, quote, unsubstantiated
10 fear not supported by evidence is not a basis for
11 denying disclosure of public records.

12 I accept the fact the vice-president is
13 worried that this will harm them, but there has been
14 no example given of actual harm despite the fact that
15 they've already released many e-mails including the
16 examples I gave you.

17 And when you go to universities that
18 routinely release them, we've offered you evidence by
19 faculty and deans saying there is no harm. There is
20 no interest of the State that seems to have been
21 harmed.

22 And, therefore, Your Honor, it's -- it
23 isn't always easy to prove a negative, but when you
24 have decades and decades of experience of releasing
25 public records from universities and they've not

1 observed any of this harm, that's evidence that there
2 is no harm and that ABOR has been unable to impeach.

3 I want to quote Dean Rychlak -- Rychlak.
4 For the record, it's R-y-c-h-l-a-k. I'm sorry I
5 didn't say that to you earlier.

6 He wrote in his declaration affidavit
7 there should be no chilling effect on ongoing
8 research when records from past research are
9 generated. In fact, it should generate better
10 research.

11 And he then said records generated as a
12 result of research are beneficial to the academic
13 community. In fact, their research should spur
14 additional research and reveal, quote, dead ends so
15 that future work is more precise.

16 And that is the point I was making
17 earlier about prepublication release once the
18 publication has been made.

19 Professor Ferraro makes these same
20 points, and I've made the point already. I'll just
21 restate this one sentence. The release of these
22 records tends to demonstrate potential uncivil
23 discourse, and uncivil discourse is inappropriate.

24 I don't have the quote here, but you can
25 go back to his statement. And he said that when he

1 ran that department, when he saw that kind of uncivil
2 discourse, he disciplined his own faculty. It's
3 inappropriate for public officials and adults to be
4 that uncivil.

5 Your Honor, I'm not here to testify, but
6 I can assure you that I have dealt with FOIA at the
7 federal government for decades.

8 And I cannot tell you how many times
9 I've had to go to new political appointees and say
10 don't use those words again in your e-mail because we
11 have to release this kind of e-mail. Don't hit the
12 send button.

13 There is no reason why there shouldn't
14 be some discipline put in if, in fact, faculty take
15 this kind of behavior all the time. And one form of
16 that discipline is it becoming known publicly which
17 happens from time to time.

18 I don't know what it is that's in these
19 that's embarrassing. They're the ones that said that
20 there's embarrassing material in there, but the fact
21 that it's embarrassing is simply not a basis for
22 withholding information.

23 Just to give an idea of the kinds of
24 things we're talking about in this line, Stephen
25 schneider -- the late Stephen Schneider was journal

1 editor of one of the most influential climate
2 journals there was and still is.

3 He was a major controller over what was
4 published and what wasn't. He was part of the hockey
5 team. And he had made famous quotes dealing with how
6 honest science really needed to be on an issue of
7 such political importance and that they need to
8 balance their honesty against their advocacy.

9 Most of us are stunned by the statement
10 because that's not what academic work is. You just
11 tell what you know.

12 If you want to step out into the
13 political framework, then you are an advocate, and
14 people have a right to know that it is an advocate
15 doing the research, not a disinterested scientist.

16 A true scientist doesn't care if the
17 experiment comes out wrong because he learned
18 something. That is not the way it works in the
19 modern academy sadly because you want to succeed in
20 your hypothesis to some degree to get the next grant
21 or to get personal recognition.

22 And those incentives tend to outweigh
23 too often the more lofty original intent to be a
24 scientist and simply look and see what you can
25 discover, but the incivility of it all shouldn't

1 enter in there at all anywhere.

2 Schneider, a Stanford professor, called
3 those who disagree with him on climate issues, quote,
4 idiots, bozos and laughably incompetent. Well, we
5 heard some of that this morning, too. That's not at
6 issue, and that kind of behavior, that is at issue.

7 There was a statement made earlier that
8 says that all the evidence that one needs is already
9 out there. Well, if that information was in the
10 e-mails, then there's no problem with releasing the
11 e-mails because it's already, quote, out there and,
12 therefore, there's no harm to anybody.

13 So to the degree that there are -- that
14 everything you could possibly need to know you could
15 get, then the e-mails should not be a problem to be
16 released.

17 Your Honor, in the final call, you're
18 confronted with a situation of our organization
19 making -- offering experts who said you've been
20 giving these out for years, there's no harm.

21 There is significant benefit in having
22 access to these kinds of materials. The best
23 interest of the State is served in expanding
24 knowledge, allowing people to observe how the
25 government does its business, ideas that have been

1 abandoned or are stale.

2 All of these are available potentially
3 in these e-mails, and all of them outweigh the fear
4 that has not been documented in actual harm. And it
5 is on that basis we believe in your de novo review of
6 this you cannot find significant irreparable harm
7 because there has been none at universities that have
8 routinely given up this e-mail -- these e-mails
9 including the Arizona universities who have given up
10 similar e-mails. If you have questions, I'll be
11 happy to answer them.

12 THE COURT: I don't. Thank you. What
13 we're going to do is we're going to take a -- let's
14 take about a five-minute bathroom break, let
15 everybody get up and stretch and use the restroom.
16 And, Mr. Mandig, you'll have the last word.

17 MR. MANDIG: Thank you.

18 (Whereupon a recess was taken.)

19 THE COURT: Before we get started --
20 Arizona federal rates but --

21 MR. SCHNARE: I'm sure it was my hot
22 rhetoric, Your Honor.

23 THE COURT: I think we're going to just
24 have to deal with it for the balance of the hearing.
25 I apologize, folks. I was getting a little warm up

1 here myself.

2 MR. MANDIG: I was telling your staff,
3 Judge, that probably 30 years ago I was involved in
4 some litigation representing the architect who
5 designed this building, and there were some
6 interesting issues about the mechanical system.

7 THE COURT: Was it civil or criminal?

8 MR. MANDIG: It was a civil case.

9 THE COURT: Okay.

10 MR. MANDIG: It was a civil case, Judge.

11 THE COURT: All right.

12 MR. MANDIG: May I proceed?

13 THE COURT: You may. And let me --
14 maybe this will get you started, or maybe you don't
15 need it. But the concerns, the worries, the opinions
16 expressed and the numerous affidavits of the
17 different university officials that you've included,
18 when I was reading them, I thought to myself way back
19 when, wouldn't those have actually manifested with
20 the enactment of 39-121?

21 I mean, wouldn't this have been an issue
22 well before this case ever came up? And if you think
23 I'm going down the wrong trail on that, tell me why
24 you think so.

25 MR. MANDIG: Well, I -- yeah. I think

1 you are a little bit. I think it's a blind alley
2 heading in the wrong direction in this sense. First
3 of all, just to take an example from our own -- is it
4 all right if I stay seated, Judge?

5 THE COURT: Yeah. Please. That's fine.

6 MR. MANDIG: To take an example from our
7 own jurisprudence, we have the Board of Regents,
8 Phoenix newspaper case involving the selection of the
9 president of Arizona State University.

10 And what happened there just in summary
11 was they created a search committee to find
12 candidates who they solicited interest and somehow
13 got the feelers out there.

14 And I don't remember the exact number,
15 but I think initially they had a couple hundred folks
16 or so that sent in some kind of an indication of
17 interest and maybe a resume or whatever.

18 And then they went through the process
19 of winnowing down to final candidates, if you will,
20 and the Phoenix newspapers came in and said we want
21 all the resumes of everybody who --

22 THE COURT: Right.

23 MR. MANDIG: -- gave some indication of
24 interest. And the case ended up boiling down to the
25 question of what limits could legitimately be imposed

1 on that kind of request.

2 And our Supreme Court came out with a
3 decision that, if memory serves me correctly, was
4 along the lines of once they got down to 15 or so
5 folks who were still in the hunt, not necessarily to
6 the final three candidates, at that point in time it
7 was okay to release the information.

8 But with respect to all of the other
9 people, the couple hundred or however many there were
10 who had given some indication of interest, the Court
11 said it's inappropriate for all of that information
12 to be released for two reasons.

13 Number one, there had been evidently
14 some sort of an assurance of confidentiality, and
15 secondly, more importantly, it was the Court's
16 conclusion that if you don't protect that process in
17 some similar fashion that you're going to have a lot
18 of people who may be great candidates who won't even
19 throw a hook in the water because they're afraid
20 that, you know, the university they're working at
21 today is going to find out they're trying to go
22 someplace else tomorrow and so on.

23 MR. SCHNARE: Your Honor --

24 MR. MANDIG: So --

25 THE COURT: Hold on.

1 MR. SCHNARE: Sorry.

2 MR. MANDIG: So what the Court said was
3 that the limitations that were imposed in that
4 opinion were designed to prevent the -- what the
5 Court called the chilling effect on the process of
6 inviting interested candidates to tell the Board of
7 Regents that they were, in fact, interested.

8 So what's my point? My point is the
9 Court did not say that we're going to wait until next
10 time this happens and find out if the list is
11 shorter.

12 They said, well, we reasonably expect
13 that there's a chance that there will be a chilling
14 effect and that the universe of possible candidates
15 will shrink if they feel that their privacy is not
16 protected until the proper moment.

17 So, you know, the suggestion that Mr.
18 Schnare keeps insisting upon that we have to actually
19 document harm that we're trying to prevent from
20 occurring is a little bit backward.

21 I mean, the whole idea behind allowing
22 the withholding of the records in order to prevent
23 harm is just that. The question then is, is there a
24 reasonable basis for believing that there is some
25 measurable likelihood to allow that happen.

1 And in our case, you know, when we read
2 the declarations from these folks, they don't say
3 will. They don't say may. They don't say might.
4 They don't say could. They say it will chill the
5 process of science if these kinds of communications
6 are not protected which really, you know, raises the
7 question that was kind of implicit in opposing
8 counsel's remarks and that is was the University
9 really entitled to conclude that there -- that there
10 is a real risk of harm that is entitled to try to
11 prevent.

12 And the best place, I think, you could
13 go to see how a court has handled that question in
14 the very similar situation is the Humane Society
15 case.

16 And if you recall, there was -- there
17 was a request for records relating to a study of
18 whether or not certain animal protection laws that
19 would regulate how eggs were produced would have some
20 kind of adverse economic impact in California.

21 The gentleman, a long time researcher
22 who was part of this agriculture institute or
23 department at the University of California gave an
24 affidavit that said, you know, I've been at this
25 research business for 30 years, and if you don't

1 protect the confidentiality of my sources and the
2 communications leading up to the publication of our
3 work, there will be bad consequences that will damage
4 the quality of the work that we're able to do.

5 And, you know, of course the Humane
6 Society made the same argument that Mr. Schnare is
7 making that, gee whiz, that's just a bunch of
8 speculation by paranoid individuals who are motivated
9 or provoked by unsubstantiated fear.

10 And the Court in that case said that
11 that individual could give the opinions and that he
12 did and that they could and should be relied upon.

13 It is not speculation for him to opine
14 that allowing release of the e-mail involved in this
15 case will fundamentally impair the academic research
16 process.

17 Now that's the conclusion that was
18 reached by the California Court of Appeals in the
19 Humane Society decision, and we really are
20 confronting the same factors here.

21 The question you have to decide is
22 whether or not it's reasonable for the University to
23 rest a decision not to -- not to release records upon
24 a concern that doing so will in the long run or the
25 short run harm the process of conducting research in

1 our universities.

2 You're entitled to say as was the
3 university that the folks that we got affidavits from
4 to paraphrase Mr. Schnare's inaccurate attempt to
5 paraphrase me, they're not idiots, bozos or
6 laughingly incompetent. They are leaders in their
7 field, and the University's judgment about what to
8 withhold has certainly been confirmed as a reasonable
9 one if you look at what those folks say.

10 Contrast that with what you see in
11 affidavits that were presented by the other side.
12 They kind of wander around a whole bunch of issues.
13 They don't really necessarily focus on precisely the
14 questions that we've been trying to debate here and
15 -- but I have the same final analysis.

16 We're not here to determine who wins a
17 battle of the experts. That is so, because contrary
18 to what Mr. Schnare said in his beginning remarks,
19 you are not deciding this case de novo.

20 You are looking at the record before you
21 and asking the question that the rules of special
22 action say is the question that needs to be asked:
23 Did the University abuse its discretion in acting
24 based upon its concerns about these kinds of harms.

25 The other thing that's -- I think it's

1 interesting about the Humane Society case is it
2 quotes a couple of other California cases, one of
3 which in turn cites to United States v. Nixon, the
4 old executive privilege case that was kicking around
5 I think even before I became a lawyer, if memory
6 serves me correctly.

7 THE COURT: That long.

8 MR. MANDIG: Huh? Yes, that long.

9 Indeed that long. But, you know, the concept is what
10 was talked about in a couple cases cited in Note 5 of
11 our last filing that, you know -- and they quote from
12 U.S. v. Nixon, the idea that it is not speculative to
13 conclude that fear of public dissemination of one's
14 remarks will, quote, temper candor with the concern
15 for an appearance.

16 And that -- that is a truth that is
17 drawn from, quote, human experience and not testimony
18 of experts at trial, end quote.

19 what's the point of that? The point of
20 that is we're all adults in this room, and I think we
21 can all assume that, for example, if somebody asked
22 for all of the e-mails that you might have written in
23 which you said something about Mike Mandig or David
24 Schnare that you would bristle at the concept of
25 turning those over, number one.

1 colleagues that they have limited their e-mail
2 communications with me because I have been targeted
3 in public records requests.

4 As e-mail is the essential medium of
5 scientific cooperation in the modern world, there is
6 no doubt that this chilling effect has been an
7 obstacle to collaboration.

8 My coauthor, Professor Raymond Bradley
9 of the University of Massachusetts in Amherst, told
10 me that he has avoided e-mail communication with me
11 because of such concerns.

12 He goes on then to describe in that same
13 Paragraph 30 that the collaborations between these
14 three gentlemen that have been apparently fairly
15 active up until 2009 had fallen off drastically in
16 subsequent years according to Professor Hughes in
17 significant measure because of the release of these
18 e-mails.

19 Now counsel for E & E is going to say
20 great. That's as it should be. But the point is not
21 whether what he wants to have access to would enable
22 him or someone that he hands these documents to go
23 out and drum up an artificial dispute in the media.

24 The question is, did the University of
25 Arizona have the right to decide that with these

1 kinds of considerations in play it was the better
2 part of valor to withhold the e-mail.

3 And I think it's pretty hard to say that
4 that was anything other than a pretty sound judgment,
5 and that's been confirmed by all the experts that
6 I've gone to, not because I was looking for somebody
7 who would say what I wanted them to say, but because
8 we wanted to know what the leaders in the business
9 say about this case.

10 Now the comments about Dr. Overpeck
11 being concerned enough about this issue that he will
12 -- he didn't say might, maybe, et cetera -- will be
13 among those seeking work elsewhere if the case goes
14 in his judgment wrong, let's think about that for a
15 minute.

16 Talk about Categories 1 through 9. In
17 the joint declaration that we filed on the 20th, one
18 of the things that these gentlemen wanted to be sure
19 that they explained to you is the difficulty of
20 trying to use those categories as a way to parse out
21 what it is they're looking at.

22 It's somewhat easier, I suppose, today
23 looking back at e-mails from, you know, 2007, let's
24 say. Then it would be on an ongoing basis, but think
25 about the implications of having to turn these

1 e-mails over now.

2 That means that everybody engaged in
3 research would have to spend a significant part of
4 their waking workday thinking is this Category 1, 3,
5 5, 8, 7? And how do I -- how do I adjust what I put
6 in writing to make sure that when I send this e-mail
7 to this fellow at Rice University it's not going to
8 end up in the hands of somebody who really doesn't
9 have an interest in seeing what I'm talking about on
10 a day-to-day basis?

11 To take from the University the
12 discretion to make a judgment under concrete
13 circumstances about what should or should not be
14 withheld and to in effect force all faculty members
15 to try and, you know, live by this nine item list in
16 conducting their daily communication, in a word
17 nightmare. Another word, seemingly impossible.

18 So, you know, again, I go back to the
19 same point. What's the question? The question is,
20 did the University exceed the bounds of reason when
21 it said, you know what, on this day with these
22 documents and this factual array involved, it's
23 better not to turn those things over. That's a hard
24 judgment to overturn.

25 Now Mr. Schnare suggested that what

1 we're really trying to do is have a judicial blanket
2 exemption for e-mail contrary to what may be
3 statutory law of the State of Arizona.

4 we're not asking for any such thing.
5 we're asking you to look at the facts of this case
6 and decide whether the University went nuts when they
7 said we just don't think it would be a good idea to
8 turn these things over to these folks at this time.

9 And I don't think you can say that
10 that's what happened because they didn't. Now I did
11 have one -- oh, I have two more points and then I'll
12 be finished.

13 One is, I do think that chief gate is an
14 appropriate label for what is sought here and I do
15 think that it is -- borders on being disingenuous to
16 say that what George Mason did -- George Mason
17 University did with respect to Professor Wegman's
18 e-mail in 2010 should be used as a guidepost for a
19 couple of reasons, the first of which is Professor
20 Wegman's study so-called got de-published by the
21 journal that published it due to concerns about
22 plagiarism.

23 We made note of that in our reply
24 memorandum, I think. But more to the point, George
25 Mason is a public university in the state of

1 Virginia. And the decision that the university made
2 -- and I don't know whether it was over Wegman's
3 objection or whether he was fully in support of it or
4 not -- but it was made in 2010 which was four years
5 before the Supreme Court of Virginia decided a case
6 that says if Professor Wegman's e-mails were asked
7 for today, the university could withhold them. What
8 happened in 2010 is not important anymore.

9 And getting back to this notion that
10 there's some -- some sort of couturier (sic), I think
11 is the word that keeps getting kicked around, of --
12 consisting of a small group of climate scientists who
13 are capable at the drop of a hat of muzzling
14 everybody else who's out there doing climate science
15 if they disagree with them, first of all, we don't
16 have any evidence that that's so, nor do we have any
17 evidence that even if there were such a thing Dr.
18 Overpeck or Dr. Hughes were card carrying members of
19 that organization.

20 And conversely, well, my folks looked at
21 me kind of strange when I said I was going to tell
22 you this, but I asked Dr. Hughes what time is hockey
23 practice and he didn't know what I was talking about.
24 There is no hockey team.

25 And the IPCC is not a small group of a

1 few scientists. It consists of thousands of
2 scientists all over the world who are exchanging and
3 analyzing and commenting about climate science, I
4 don't know, probably something close to all day every
5 day.

6 Getting back to the question of whether
7 if somebody wants to put false science on trial do
8 they have the tools to do that, absolutely. Part of
9 the reason for that is the IPCC has everything out
10 there that relates to peer reviews, commentary on
11 draft reports.

12 It's out there. It's available from
13 some other source, and there's no reason why the
14 university isn't free to say you can get what you
15 think you want or you say you want somewhere else but
16 you don't get these e-mails.

17 And they are indeed -- just to close,
18 these e-mails are the modern equivalent of telephone
19 conversations, chats that occurred in conference
20 rooms, hallways, by the water fountain and so forth
21 years ago until the advent of e-mail and Twitter and
22 all these other things that are driving us nuts
23 nowadays.

24 And no one would have seriously
25 contended back then that Mr. Schnare because the

1 public has an interest in what Malcolm Hughes is
2 doing that they would have a right to go in his
3 office and pick up his notes or listen to his
4 conversations or tap his phone or anything of the
5 sort.

6 And that really is kind of the
7 functional equivalent of what's happening here.
8 They're not asking for research results. They're not
9 asking for the data on which those results were
10 premised.

11 They are not asking for information
12 about the methodology used to reach the results that
13 have been published. They're not asking about where
14 the money came from and how much to pay for whatever
15 the research was.

16 They just want to get into this inner
17 sanctum that they really have no business in under
18 the facts of our case, and so you should refuse the
19 relief that's been requested.

20 THE COURT: Thank you.

21 MR. SCHNARE: Your Honor, I'd like to
22 correct two -- two issues with regard to --

23 THE COURT: Hold on. Hold on. We got
24 -- Mr. Mandig got the last word. I mean, that's --
25 counsel, the way we work is whoever has the burden

1 goes first and goes last.

2 MR. SCHNARE: My concern -- my concern,
3 Your Honor, is that he misrepresented two cases of
4 law, and I only wanted to point you to those two
5 cases to look at yourself. I wasn't going to make
6 any argument at all.

7 THE COURT: I will look at the cases
8 that he cited.

9 MR. SCHNARE: Would you --

10 THE COURT: I will look at the cases
11 that he cited.

12 MR. SCHNARE: Can I now --

13 THE COURT: If we go back and forth, Mr.
14 Schnare, we're going to be here all day.

15 MR. SCHNARE: And I don't want to do
16 that.

17 THE COURT: And you're not going to.
18 We're concluded.

19 MR. SCHNARE: Your Honor --

20 THE COURT: Mr. Schnare, you're done.

21 MR. SCHNARE: May I -- you had offered
22 me the opportunity to write a very brief response to
23 their memorandum.

24 THE COURT: And you declined.

25 MR. SCHNARE: And I did decline. On the

1 basis that I think he misrepresented some of the law
2 here, I'd like to write that down and offer it to you
3 in a timely fashion.

4 THE COURT: You may. It can be -- can
5 you limit it to five pages, or do you need 10?

6 MR. SCHNARE: It will be less than that,
7 Your Honor. And I'll have it within 72 hours.

8 THE COURT: You don't have to do that.
9 You can get it to me -- if possible, Mr. Schnare, can
10 you get it to me by Friday, the 27th of February
11 2015?

12 MR. SCHNARE: I will, Your Honor.

13 THE COURT: Thank you.

14 MR. SCHNARE: Thank you.

15 THE COURT: And limit it strictly to
16 those issues, please.

17 MR. SCHNARE: Two cases, Your Honor.

18 THE COURT: All right, folks. I will
19 take the matter under advisement upon receipt on the
20 27th. I'm going to need every day I can. I
21 appreciate everybody's hard work.

22 Those of you who are here and who have
23 been here, I appreciate your concern. You've seen
24 some excellent lawyering. You should be impressed,
25 because I certainly am.

1 Also, I noticed during the proceedings
2 there was some brisling and things like that. I
3 think we should all really appreciate what we have
4 here. I feel privileged to be part of this.

5 I know hard feelings raise, and I see
6 scowls and eye rolling and literally brisling. But
7 it's the best system in the world, and to be part of
8 this I truly feel privileged.

9 And, you know, if it's your ox being
10 gored, you want somebody like Mr. Mandig and Mr.
11 Schnare in there fighting for your right, so
12 everybody can just kind of decompress and go about
13 your day but recognize what's been going on here.
14 Thank you.

15 MR. SCHNARE: Thank you, Your Honor.

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C E R T I F I C A T E

STATE OF ARIZONA)
)
COUNTY OF PIMA) ss.

I, Karen A. Kahle, RPR, do hereby certify that as an official Court Reporter for the Pima County Superior Court, I reported the foregoing proceedings to the best of my skill and ability; and that the same was transcribed under my supervision via computer-aided transcription; and that the foregoing pages of typewritten matter are a full, true, and accurate record of all the proceedings had as set forth in the title page hereto.

IN WITNESS WHEREOF, I have hereunto subscribed my name this 13th day of May 2015.

Karen A. Kahle, RPR
Certified Reporter
Certificate Number 50075